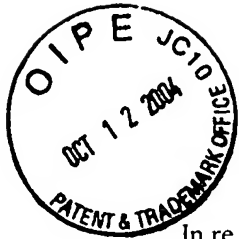


10-14-04

Patent 1FW



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of:

Docket No.: 41428.0118

Eric B. Kmiec *et al.*

Group Art Unit: 1645

Serial No. 10/681,074

Confirmation No.: 7677

Filing Date: October 7, 2003

Examiner:

For: METHODS AND COMPOSITIONS FOR  
REDUCING SCREENING IN  
OLIGONUCLEOTIDE-DIRECTED  
NUCLEIC ACID SEQUENCE  
ALTERATION

Customer No. 25213

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EXPRESS MAIL LABEL NO. EL 976 543 655 US

Date Mailed: October 12, 2004

**SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT**

Commissioner for Patents  
P.O. Box 1450  
Alexandria, Virginia 22313-1450

Dear Sir:

Listed below or on an attached Form PTO-1449 is information known to applicant(s). A copy of each listed publication, except U.S. patents and patent application publications (1276 OG 55), is being submitted herewith, along with a concise explanation of information in a foreign language, if any, pursuant to 37 C.F.R. §§ 1.97-1.98.

Applicants respectfully request that the listed information be considered by the Examiner and be made of record in the above-identified application. If form PTO-1449 is enclosed, the Examiner is requested to initial and return it in accordance with MPEP §609.

This statement is not intended to represent that a search has been made or that the information cited in the statement is, or is considered to be, material to patentability as defined in §1.56.

☒ This statement qualifies under 37 C.F.R. §1.97, subsection (b) because (check all that apply):

- ☐ (1) It is being filed within 3 months of the application filing date and is other than a continued prosecution application under § 1.53(d)  
-- OR --
- ☐ (2) It is being filed within 3 months of entry of a national stage  
-- OR --
- ☒ (3) It is being filed before the mail date of the first Office Action on the merits  
-- OR --
- ☐ (4) It is being filed before the mailing of a first Office Action after the filing of a request for continued examination under § 1.114.

☐ 37 C.F.R. §1.97(c). If this statement is being filed after the latest of: (1) three months beyond the filing date of a national application; (2) three months beyond the date of entry of the national stage as set forth in §1.491 in an international application; or (3) the mailing date of a first Office action on the merits, but before the mailing date of the earlier of a final office action under §1.113 or a notice of allowance under §1.311, then:

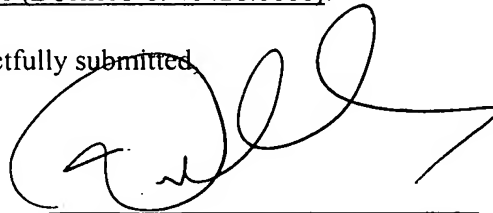
- ☐ a certification as specified in §1.97(e) is provided below; or
- ☐ a fee of \$180.00 as set forth in §1.17(p) is authorized below, enclosed, or included with the payment of other papers filed together with this statement.

☐ 37 C.F.R. §1.97(d). If this statement is being filed after the mailing date of the earlier of a final office action under §1.113 or a notice of allowance under §1.311, but before payment of the issue fee, then:

- A. a certification as specified in §1.97(e) is completed below; and
- B. a petition under 37 C.F.R. §1.97(d) requesting consideration of this statement is submitted herewith; and
- C. a fee of \$130.00 as set forth in §1.17(i)(1) is authorized below, enclosed, or included with the payment of other papers filed together with this statement.

☒ *Fee Authorization.* The Commissioner is hereby authorized to charge the above-referenced fees of \$0.00 and charge any additional fees or credit any overpayment associated with this communication to Deposit Account No. 08-1641 (Docket No. 41428.0118).

Respectfully submitted,



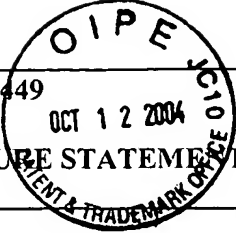
Date: OCT 11, 2004

By:

Daniel M. Becker (Reg. No. 38,376)

**HELLER EHRMAN WHITE & McAULIFFE LLP**  
Customer No. 25213

Form PTO-1449		Attorney's Docket No. 41428.0118		Application Serial No. 10/681,074	
INFORMATION DISCLOSURE STATEMENT		Applicant(s) <b>Eric B. Kmiec <i>et al.</i></b>			
(use several sheets if necessary)		Filing Date: October 7, 2003		Group Art Unit: 1645	



U.S. PATENT DOCUMENTS							
Examiner Initials	Ref. No.	Date	Document No.	Name	Class	Subclass	Filing Date (if appropriate)
	1						
	2						
	3						
	4						
	5						

FOREIGN PATENT DOCUMENTS								
Examiner Initials	Ref. No.	Date	Document No.	Country	Class	Subclass	Translation	
							YES	NO
	6	18.02.99	WO 99/07865	PCT				
	7							
	8							

OTHER DOCUMENTS		
(including author, title, date, pertinent pages, etc.)		
Examiner Initials	Ref. No.	Title
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<b>EXAMINER:</b>	<b>DATE CONSIDERED:</b>
<b>EXAMINER:</b> Initial if citation considered, whether or not the citation conforms with MPEP 609. Draw a line through the citation if not in conformance and not considered. Include a copy of this form with next communication to applicant.	
*If an asterisk is placed beside the reference number, a copy is not provided because the reference was previously cited by or submitted to the PTO in a prior application that is identical in the statement and relied upon for an earlier filing date under 35 U.S.C. §120. 37 C.F.R. §1.98 (d).	